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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/789,139	02/27/2004	Kevin P. Connors	ALTU-1110

28584  
 STALLMAN & POLLOCK LLP  
 SUITE 2200  
 353 SACRAMENTO STREET  
 SAN FRANCISCO, CA 94111

RECEIVED

JUN 18 2004

STALLMAN & POLLOCK LLP

CONFIRMATION NO. 9270

FORMALITIES LETTER



\*OC000000012960826\*

Date Mailed: 06/16/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 1 -12.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*S. Green*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**ALTU-1110**

In Re Application Of: **Kevin P. Connors et al.**

O I P E  
AUG 02 2004  
P A T E N T

Application No.	Filing Date	Examiner	Customer No.: 3739	Group Art Unit	Confirmation No.
10/789,139	02/27/2004	Unknown	28584	3739	9270

Invention: **SYSTEM AND METHOD FOR HEATING SKIN USING LIGHT TO PROVIDE TISSUE TREATMENT**

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
June 16, 2004  
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

One verified small entity declaration(s)

is/are attached.

was/were filed on February 27, 2004

A separate request for refund.

Other (list):

**Submission of Replacement Formal Drawings in Response to Notice to File Missing Parts of Nonprovisional Application Mailed June 16, 2004**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**ALTU-1110**

**In Re Application Of: Kevin P. Connors et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/789,139	02/27/2004	Unknown	28584	3739	9270

**INVENTION: SYSTEM AND METHOD FOR HEATING SKIN USING LIGHT TO PROVIDE TISSUE TREATMENT**

**TO THE COMMISSIONER FOR PATENTS:**

### Mail Stop Missing Parts

Completion of application fees as calculated below:

Utility application filing fee

Design application filing fee

Total number of independent claims =

Total number of claims =

**Multiple dependent claims**

**Surcharge for late payment of filing fee and/or late filing of original declaration or oath** **\$65.00**

Petition and fee for filing by other than all the inventors or a person not the inventor

Fee for processing an application filed with a non-English language specification

Fee for processing and retention of application

Total completion of application fees \$65.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_ until: \_\_\_\_\_

Date

*Date*

### Total time extension fees

**Total fees due \$65.00**

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.  
ALTU-1110

In Re Application Of: Kevin P. Connors et al.

Application No. 10/789,139	Filing Date 02/27/2004	Examiner Unknown	Customer No. 28584	Group Art Unit 3739	Confirmation No. 9270
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Invention: SYSTEM AND METHOD FOR HEATING SKIN USING LIGHT TO PROVIDE TISSUE TREATMENT

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of \$65.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1703
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1703



Signature

Dated: July 28, 2004

Brian J. Keating (Reg. No. 39,520)

STALLMAN & POLLOCK LLP  
353 Sacramento Street, Suite 2200  
San Francisco, CA 94111

Telephone: (415) 772-4900  
Facsimile: (415) 398-2890

I certify that this document and fee is being deposited on 07/28/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Georgia K. Stith  
Signature of Person Mailing Correspondence

cc:

Georgia K. Stith

Typed or Printed Name of Person Mailing Correspondence



PATENT

*JFW*

-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Kevin P. Connors et al.

Application No.: 10/789,139

Filed: February 27, 2004

For: SYSTEM AND METHOD FOR  
HEATING SKIN USING LIGHT TO  
PROVIDE TISSUE TREATMENT

Confirmation No.: 9270

Group Art Unit: 3739

Examiner: UNKNOWN

**SUBMISSION OF REPLACEMENT  
FORMAL DRAWINGS IN RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION  
MAILED JUNE 16, 2004**

353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
Telephone: (415) 772-4900

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 28, 2004.

STALLMAN & POLLOCK LLP

Dated: 07/28/2004

By:

*Georgia K. Stith*  
Georgia K. Stith

M/S MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed June 16, 2004, Applicants hereby submit nine (9) sheets of replacement formal drawings to replace the informal drawings filed in the above-identified application on February 27, 2004.

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: July 28, 2004

By:

*BK*  
Brian J. Keating  
Reg. No. 39,520

Attorneys for Applicant(s)

Atty Docket No.: ALTU-1110